

RECEIPT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicant: Kyoko Kawaguchi et al.

Serial No.: 09/505,810

Art Unit: 2768

Filed: February 17, 2000

Title: ELECTRONIC ASSET UTILIZATION SYSTEM, ELECTRONIC ASSET UTILIZATION METHOD, SERVER FOR USE WITH ELECTRONIC ASSET UTILIZATION SYSTEM, AND RECORDING MEDIUM HAVING RECORDED THEREON ELECTRONIC ASSET UTILIZATION METHOD

Docket No.: 32410

LETTER REQUESTING CORRECTED FILING RECEIPT

Asst. Commissioner of Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt for the above-identified application. The receipt contains errors in the "Applicant(s)" and "Title" sections of the receipt. The sections should read as follows:

Applicant(s):

Kyoko Kawaguchi  
Hisashi Takayama  
Tetsuo Matsuse  
Akio Shibata

Title:

ELECTRONIC ASSET UTILIZATION SYSTEM, ELECTRONIC ASSET UTILIZATION METHOD, SERVER FOR USE WITH ELECTRONIC ASSET UTILIZATION SYSTEM, AND RECORDING MEDIUM HAVING RECORDED THEREON ELECTRONIC ASSET UTILIZATION METHOD

Please issue a corrected filing receipt for this application and forward the same to the undersigned attorney of record.

Respectfully submitted,  
PEARNE, GORDON, McCOY & GRANGER

By Jeffrey J. Sopko Reg. No. 27676

526 Superior Avenue, East  
Suite 1200  
Cleveland, Ohio 44114-1484  
(216) 579-1700

May 3, 2000

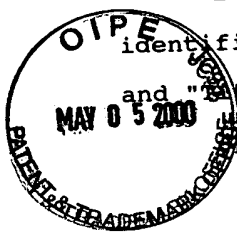
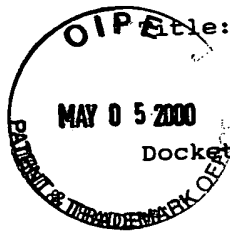
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Jeffrey J. Sopko  
Name of Attorney for Applicant(s)  
5/3/00  
Date  
Signature of Attorney

TC 2768 MAIL ROOM

JUL 3 2000

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# FILING RECEIPT

\*OC00000005074874\*



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/505,810

02/17/2000

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000116  
PEARNE GORDON MCCOY & GRANGER LLP  
SUITE 1200  
526 SUPERIOR AVENUE EAST  
CLEVELAND, OH 441141484



Date Mailed: 04/25/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

### Applicant(s)

Kyoko Kawaguchi, Residence Not Provided;

### Continuing Data as Claimed by Applicant

### Foreign Applications

JAPAN 11-39543 02/18/1999

If Required, Foreign Filing License Granted 04/25/2000

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### Title

Electronic asset utilization system electronic asset utilization method server for use with electronic asset utilization system and recording medium having recorded thereon electronic asset utilization method

### Preliminary Class

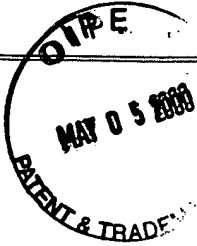
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Data entry by : SASFAI, DAVID

Team : OIPE

Date: 04/25/2000





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

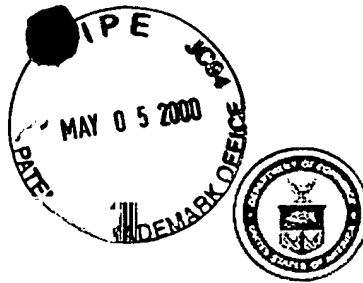
Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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## FORMALITIES LETTER

\*OC000000005074875\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/505,810	02/17/2000	Kyoko Kawaguchi	32410

000116  
 PEARNE GORDON MCCOY & GRANGER LLP  
 SUITE 1200  
 526 SUPERIOR AVENUE EAST  
 CLEVELAND, OH 441141484

Date Mailed: 04/25/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

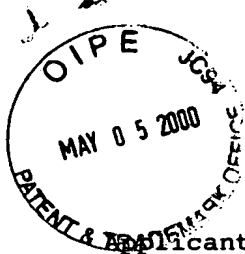
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kyoko Kawaguchi et al.  
Serial No.: 09/505,810  
Filed: February 17, 2000  
Title: ELECTRONIC ASSET UTILIZATION SYSTEM, ELECTRONIC ASSET UTILIZATION METHOD, SERVER FOR USE WITH ELECTRONIC ASSET UTILIZATION SYSTEM, AND RECORDING MEDIUM HAVING RECORDED THEREON ELECTRONIC ASSET UTILIZATION METHOD  
Docket No.: 32410

LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: BOX MISSING PARTS

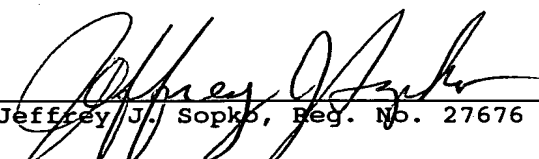
Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated April 25, 2000 (copy enclosed), the required Declaration and Power of Attorney was sent to the Patent Office on April 3, 2000. A check for \$130.00 was enclosed to cover the late filing fee surcharge for the enclosed declaration. Accordingly, all the missing parts of the application have been filed and no further action is required.

If there are any further fees resulting from this communication please charge the same to Deposit Account No. 16-0820, Order No. 32410.

Respectfully submitted,

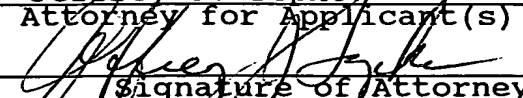
PEARNE, GORDON, McCOY & GRANGER

By   
Jeffrey J. Sopko, Reg. No. 27676

526 Superior Avenue, East  
Suite 1200  
Cleveland, Ohio 44114-1484  
(216) 579-1700

May 3, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Jeffrey J. Sopko  
Name of Attorney for Applicant(s)  
5/3/00  
Date  
  
Signature of Attorney